



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pat. Dkt. No. 028040-0202

SECTOR

#4

Applicant: EILERTSEN et al.

Title: IDENTIFICATION AND USE OF
MOLECULAR MARKERS
INDICATING CELLULAR
REPROGRAMMING

Appl. No.: 09/876,143

Filing Date: 06/06/2001

Examiner: Unassigned

Art Unit: 1645

CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below. <u>Jodie Price</u> (Printed Name) <u>[Signature]</u> (Signature) <u>7/24/01</u> (Date of Deposit)

TRANSMITTAL OF MISSING PARTS

Commissioner for Patents
Box: Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed on July 2, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ Declaration and Power of Attorney (4 pages);
- ☒ Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533); and
- ☒ Check in the amount of \$4,326.00 in payment of surcharge fee.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

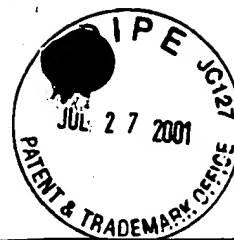
Respectfully submitted,

Date July 23, 2001
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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/876,143	06/06/2001	Eilertsen	028040-0202

23620
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402 WEST BROADWAY
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DOCKETING DEPARTMENT

Due: 8-2-01

Drop Date (DD): 2-2-02

1st Reminder: 8-2-01

Initials: nm MDC Entered

CONFIRMATION NO. 6701

FORMALITIES LETTER



OC000000006246661

Date Mailed: 07/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Adjustment date: 01/15/2002 YGIZAW
07/31/2001 MAHMED1 00000006 09876143
02 FC:103 -1206.00 OP

FILED UNDER 37 CFR 1.53(b)

01/15/2002 YGIZAW 00000016 09876143
Filing Date Granted 01 FC:103 1152.00 OP

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$3396.

■ \$1206 for 67 total claims over 20.	02 FC:102 710.00 OP
■ \$1920 for 24 independent claims over 3.	03 FC:103 1920.00 OP
■ \$270 for multiple dependent claim surcharge.	04 FC:104 1206.00 OP
	05 FC:105 270.00 OP
	130.00 OP
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4236.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));

Repln. Ref: 01/15/2002 YGIZAW 0014344700
FC: 7500872 Name/Number: 09876143 \$54.00 CR

Repln. Ref: 07/31/2001 MAHMED1 0007211100
FC: 500672 Name/Number: 09876143 \$90.00 CR

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- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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